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PATENT APPLICATION  
Docket No. 14689.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wayne A. Provost, et al.

Serial No.: 10/044,341

Filing Date: January 11, 2002

Confirmation No.: 8023

For: DELIVERING ELECTRONIC VERSIONS OF  
SUPPORTING DOCUMENTS ASSOCIATED  
WITH AN INSURANCE CLAIM

Examiner: Michael Tomaszewski



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TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- Statement of relevance of selected cited references not in the English language which are not translated.
- Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
- Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- Form PTO-1449 listing 36 reference submitted for consideration.
- A copy of each of the references listed on the Form PTO-1449.
- English translations of \_\_\_\_\_ (\_\_\_\_\_) of the references listed on the Form PTO-1449 which are not in the English language.
- Copies of the following documents from the prosecution of a previous, related application:
  - Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and
  - Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

- I.  Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.
- II.  Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:
  - Promptness Certification; or
  - Form PTO-2038 submitting Credit Card Payment in the amount of \$180.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- III.  After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
  - Promptness Certificate;
  - Petition for Consideration; and

- Check No. in the amount of \_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).
- IV. — After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
- Petition to Withdraw from Issue; and
- Check No. \_\_\_\_ in the amount of \_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

- Any fee required in relation to filing of this letter or any documents transmitted therewith.
- The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).
- The submission fee set forth in 37 C.F.R. § 1.17(p).
- The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

Dated this 2<sup>nd</sup> day of May 2006.

Respectfully submitted,



CARL T. REED  
Attorney for Applicant  
Registration No. 45,454  
Customer No. 022913  
Telephone No. 801.533.9800



Express Mailing Label No. EV 825 901 841 US

PATENT APPLICATION  
Docket No. 14689.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wayne A. Provost, et al.

Serial No.: 10/044,341

) Art Unit

) 3626

Filing Date: January 11, 2002

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For: DELIVERING ELECTRONIC VERSIONS OF  
SUPPORTING DOCUMENTS ASSOCIATED  
WITH AN INSURANCE CLAIM

)

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Examiner: Michael Tomaszewski

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof that is not a US patent document is also enclosed.

Dated this 2<sup>nd</sup> day of May 2006.

Respectfully submitted,



CARL T. REED  
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Registration No. 45,454  
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Telephone No. 801.533.9800

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Applicant: Wayne A. Provost et al.

Confirmation No.: 8023

Serial No.: 10/044,341

Att'y Docket No.: 14689.11

Filing Date: January 11, 2002

Art Unit: 3626

For: DELIVERING ELECTRONIC VERSIONS OF SUPPORTING DOCUMENTS ASSOCIATED  
WITH AN INSURANCE CLAIMINFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
1	4,491,725	01/01/1985	Pritchard
2	4,831,526	05/16/1989	Luchs, et al.
3	4,858,121	08/15/1989	Barber, et al.
4	5,225,976	07/06/1993	Tawil
5	5,235,507	08/10/1993	Sackler, et al.
6	5,235,702	08/10/1993	Miller
7	5,253,164	10/12/1993	Holloway, et al.
8	5,301,105	04/05/1994	Cummings, Jr.
9	5,359,509	10/25/1994	Little, et al.
10	5,519,607	05/21/1996	Tawil
11	5,523,942	06/04/1996	Tyler, et al.
12	5,644,778	07/01/1997	Burks, et al.
13	5,704,044	12/30/1997	Tarter, et al.
14	5,903,873	05/11/1999	Peterson, et al.
15	5,911,132	06/08/1999	Sloane
16	5,915,241	06/22/1999	Giannini
17	5,930,759	07/27/1999	Moore, et al.
18	5,953,710	09/1999	Fleming
19	6,003,007	12/14/1999	DiRienzo
20	6,012,035	01/04/2000	Freeman, Jr. et al.

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Wayne A. Provost et al.  
 Serial No.: 10/044,341  
 Filing Date: January 11, 2002  
 For: DELIVERING ELECTRONIC VERSIONS OF SUPPORTING DOCUMENTS ASSOCIATED WITH AN INSURANCE CLAIM



<u>      </u> 21	6,076,066	06/13/2000	DiRienzo et al.
<u>      </u> 22	6,112,183	08/29/2000	Swanson, et al.
<u>      </u> 23	6,208,973	03/01/2001	Boyer, et al.
<u>      </u> 24	6,738,784 B1	05/18/2004	Howes
<u>      </u> 25	US 2002/0123907 A1	09/05/2002	Strayer
<u>      </u> 26	US 2002/0169955 A1	11/14/2002	Bryant, Jr. et al.
<u>      </u> 27	US 2003/0208379 A1	11/06/2003	Haskey et al.

#### Foreign Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Publication <u>Date</u>	Country or <u>Patent Office</u>	<u>Translation</u>
<u>      </u> 28	WO/2001/09701A	02/08/2001	PCT	N/A

#### Other Documents (including author, title, pertinent pages, etc.)

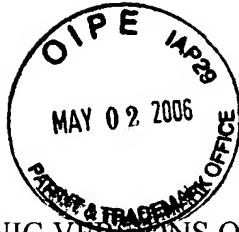
Examiner  
Initial\*

- 29 "Health Care Claim Payment/Advice, Supplemental Implementation Guide", updated September 8, 2004, ©2004 Blue Cross and Blue Shield of South Carolina, ®Registered marks of the Blue Cross and Blue Shield Association, pp. 1-10.
- 30 LLANA, Jr. A. "Conveying the Image", Feb. 1992, v12, n8, p 22(4). Gale Group Computer DB [online] DG Review. Retrieved from: Dialog Accession No. 01513428.
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- 32 TANNER, Lisa, Claimsnet files to IPO to fund Internet service Dallas Business Journal, 05/08/98, Vol. 21 Issue 37, p10, 1/2p.

Examiner:

Date Considered:

Applicant: Wayne A. Provost et al.  
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- 33 HAGLAND, Mark, Healtheon: Zigging and zagging to adjust to health care realities, Health Management Technology; Atlanta; July 1997, Vol. 18, Issue 18, p30.
- 34 E-StellarNet @ <http://web.archive.org/web/20010201203200/http://wwwwestellarnet.com/>. Copyright 1997-2001.
- 35 MD On-line.com @ [http://web.archive.org/web/20010815084319/mdonline.com/md\\_welcome.htm](http://web.archive.org/web/20010815084319/mdonline.com/md_welcome.htm) Copyright 2000.
- 36 Claimsnet.com @ <http://web.archive.org/web/20011217201034/www.claimsnet.com/public/>. Copyright 2000.

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.